Course Information:

**Course:** FI 638: Financial Markets and Instruments  
**Term:** Winter 2016  
**Credit Hours:** 3  
**Meets:** Tuesdays 6:00pm to 9:20pm  
**Instructor:** J. Richard Anderson  
**Email:** jranderson8247@sbcglobal.net  
**Text:** Financial Markets and Institutions, Fifth edition  
Anthony Saunders and Marcia Cornett, McGraw-Hill.  
**Prerequisites:** FI 630 or the equivalent. BU 638 builds directly on the material in BU 630 and it is helpful to have an understanding of the basic concepts of financial management.

Objectives:

This course should help in developing skills that enable students to understand and be able to analyze the financial system of the US and globally. Upon completion, the student will have utilized evaluative and logical approaches in analyzing information and developing conclusions regarding finance and current business issues.

MBA outcomes include the ability to formulate and solve problems through systematic analysis, demonstrate the ability to communicate professionally in an organizational setting via written, oral and technological means and understand the effects of globalization on business operations.

Assessment of the outcomes will be via exams, problems/homework, papers, topic discussions and a class presentation.

Class Format and Method Of Instruction:

Lecture, class / group discussion, problems and case study are the primary class format. However, the lectures will not always follow the text so I suggest you read the assigned chapter material before each class period. The lecture will primarily focus on the main aspects of the assigned readings.

Each student (via group) will present a detailed analysis of a financial topic within financial market and instrument environment.

A financial calculator is required for the class. I recommend acquiring the HP-10BII. I will be using one in class and will be able to assist you if operational problems occur. You are free to use other financial calculators, however you be on your own to learn the calculator operations since different functions may be required to solve the problems.
Course Grading:

Class grade will be determined by the following weighted criteria:

- Assigned problems/homework: 20%
- Class presentation: 20%
- Midterm exam: 30%
- Final exam: 30%

Class Grading Scale:

- 90% - 100% A
- 80% - 89% B
- 70% - 79% C
- 60% - 69% D
- Under 60% F

Course Policies:

A. Attendance – Class attendance is very important. If you miss a class, it is your responsibility to obtain any missed lecture of other class information from a fellow classmate.

B. All course withdrawals must be completed through registration. Informing the instructor of intent to withdraw does not constitute an official class withdrawal.

C. Make-up test / class work - Missed assignments or tests must be made up no later then the next scheduled class period. Late class assignments/tests are subjected to a 10% grade reduction.

D. Academic honesty – The policy of Avila University concerning cheating and plagiarism will be strictly enforced.

Request for accommodations:

If you have a disability and require accommodations please contact the Office of Disability Services located in Hodes Center.
Course Outline: These should be viewed as tentative dates.

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<th>Topic</th>
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<td>Introduction, Financial Overview</td>
<td>Chapter 1</td>
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<td>Interest Rates &amp; Fed Res</td>
<td>Chapters 2,4</td>
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<td>Midterm exam</td>
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<td>Financial Institutions &amp; Risks</td>
<td>Chapter 11,19</td>
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<td>Presentation Analysis</td>
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<td>Class Presentations</td>
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<td>Final exam</td>
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- Topics and assignments are subject to change/revision per instructor.
- Additional assignments will be given during the trimester.

- First week’s assignment:

  1) Read chapter 1 prior to class.

  2) Research and bring to class a current published article (within the last 12 months) dealing with a financial market topic, problem, scandal, issue or other financial market subject/item along with a short (1 page / typed double spaced) article summary for class submission. Be prepared to discuss your article in class (4 to 6 minutes).